CAYUGA COUNTY INDUSTRIAL DEVELOPMENT AGENCY APPLICATION FOR FINANCIAL ASSISTANCE

Form Adopted: September 7, 2016

IMPORTANT NOTICE: The answers to the questions contained in this Application are necessary to determine the Applicant's eligibility for financing, tax exemptions and other assistance from the Cayuga Industrial Development Agency (the "Agency"). These answers will also be used in the financial preparation of legal documents for this transaction. Accordingly, all questions should be answered accurately and completely by an officer or other employee of this Applicant who is thoroughly familiar with the business and affairs of your firm and who is also thoroughly familiar with the proposed project. This application is subject to acceptance by the Agency.

Instructions

- 1. The Agency will not approve any application unless, in the judgment of the Agency, this Application contains sufficient information upon which to base a decision whether to approve or tentatively approve the project contemplated herein (the "Project").
- 2. Fill in all blanks, using "none" or "not applicable" or "N/A" where the question is not appropriate to the Project.
- 3. If an estimate is given as the answer to a question, put "(est)" after the figure or answer which is estimated.
- 4. If more space is needed to answer any specific question, attach a separate sheet.
- 5. When completed, return two (2) signed copies of this application to the Agency at 2 State Street, Auburn, New York 13021.
- 6. A completed Environmental Assessment Form (EAF) concerning the Project must be submitted with the Application. A Short Form EAF should be provided by staff with this Application. Depending on the nature of the Project, the Agency may require a Long Form EAF.
- 7. Please note that Article 6 of the New York Public Officers Law provides that all records in the possession of the Agency (with certain limited exceptions) are open to public inspection and copying. If the Applicant feels that there are elements of the Project which are in the nature of trade secrets or information, the nature of which is such that if disclosed to the public or otherwise widely disseminated would cause substantial injury to the Applicant's competitive position, the Applicant may identify such elements in writing and request that such elements be kept confidential in accordance with Article 6 of the Public Officers Law.
- 8. The Applicant will be required to pay to the Agency all actual costs incurred in connection with this application and the Project, including fees and expenses of the Agency's legal counsel.
- 9. The Agency has established an administrative fee to be paid by the Applicant upon successful conclusion of the sale of the bonds or the leaseback transaction, as applicable, said fee being intended to cover the indirect expenses incurred by the Agency in administering the Project. The administrative fees are outlined in Section I of this application or such other amount as is agreed to by the Agency on a case-by-case basis. Unless the Agency agrees in writing to the contrary, the administrative fee is required to be paid at or prior to the issuance of bonds or the granting of any financial assistance, as applicable.
- 10. The Authority has established an application fee of two hundred and fifty and 00/100 dollars (\$250) to cover the anticipated costs of the Agency in processing this application. A check or money order made payable to the Agency must accompany each application. This application will not be accepted by the Agency unless accompanied by the application fee.

I. Agency Administrative Fee Policy

Projects requesting PILOT Agreement and/or Industrial Development Revenue Bonds:

- Application Fee: \$250 due upon submission of application
- Administrative Fee: 0.75% of the Total Project Cost due upon closing
- Legal Fees: Applicant has responsibility to pay all CCIDA legal fees associated with coordination and delivery of benefits
- Additional Benefits Available: Sales & Use Tax Exemption and/or Mortgage Recording Tax Exemption

Small Project Assistance (Sales & Use Tax Exemption and/or Mortgage Recording Tax Exemption only):

- Application Fee: \$250 due upon submission of application
- Minimum Total Project Cost: \$500,000
- Administrative Fee: 10% of the calculated benefit or \$4500, whichever is greater
- Legal Fees:
 - Utilizing both Sales & Use Tax and Mortgage Recording Tax Exemptions: \$4000
 - Utilizing Sales & Use Tax Exemption only: \$2500
- These fees assume limited CCIDA and legal coordination of benefits and overall benefits under \$100,000.

II. Applicant Information

Company Name: 1532 Clark Street Road LLC

Address 1: 1532 Clark Street Road

Address 2:

City/State/ZIP: Auburn NY 13021

Contact Person: Rachel Hendricks Title: Practice Administrator

Contact 315-253-7871 Contact Fax: 315-258-8410

Telephone:

Contact Email: cayugaveterinaryservices@gmail.com

Attorney: Michael Quill Firm: Boyle & Anderson

Phone: 315-253-0326 Email:

Accountant: Kim Manrow Firm: Aster Financial Group

Phone: 315-258-8780 Email: kim@asterfg.com

Business Type: Sole Proprietorship

If other, describe:

If a corporation, date of 1946 establishment?

If a corporation, incorporated in which NY

Principal Officers, Partners or Shareholders with 15% or greater interest in Applicant organization:

ohone # Percentage Ownership
-1036 100

Attach additional sheets if necessary.

III. Project Information

1. Provide a narrative description of your project. Include major elements such as new construction, acquisition of existing building, acquisition of equipment, and proposed product lines. Also, indicate square feet by usage (e.g., office, laboratory, manufacturing), type construction, etc. In the case of pollution control project, also indicate the type of pollutants to be treated or removed and the type of process to be employed. Attach additional sheets if necessary.

Demolition and new construction will occur at 1538 Clark Street Road while our current business continues at 1532 Clark Street Road. This new construction will increase our square footage from 2400ft2 to 5900+/- ft2 allowing for increased client capacity and efficiency of work flow. The current two lots will be joined into one lot in order to better utilize the lot space. The current facility at 1532 Clark Street Road will either be a lease-hold for another business or a new, complimentary business will be established by the owner.

2. Location of project:

a. Address, including the City, Town, or Village:

Street Address: 1538 Clark Street Road

City/State/ZIP: Auburn, NY 13021

Tax Map ID (if 114.00-2-22.1

available):

Zoning of Project Site: commercial Zoning Change Needed?: No

- b. Attach map showing the general location of the project.
- c. If this project will result in closing or relocating from an existing facility, is the move necessary in order to remain competitive? Yes
- d. Describe existing improvements, if any:
- 3. Project User:
 - a. Will the Applicant be the User of the facility that is the subject of the proposed Project?
 - b

b. If no, please su	bmit the following in	nformation ab	out the us	er:	
Company Name:	Ryan Animal Hos	pital DBA Ca	ayuga Ve	terinary Serv	rices
Address 1:	1532 Clark Stree R	oad			
Address 2:					
City/State/ZIP:	Auburn			NY	13021
Contact Person:	Rachel Hendricks		Title:	Practice Adr	ninistrator
Contact Telephone:	315-253-7871		Contact Fax:	315-258-841	0
Contact Email:	cayugaveterinaryse	ervices@gmai	l.com		
Business Type:	Sole Proprietorship)			
If other, describe:					
If a c	orporation, date of establishment?	1946			
If a corporation, inc	orporated in which state?	NY			
c. Select the type	of operations of all of	end users at th	e project	site (check all	that apply):
□Warehou	sing				
☐Back Off	fice				
☐ Commercial					
⊠Retail					
□Housing					
☐Mixed Use					
☐ Facility for Aging					
☐Civic Facility					
□Other					

- d. Does the Project include facilities or property that are used in making retail sales of goods or services to customers who personally visit such facilities? Yes
 - If yes, what percentage of the cost of the Project will be expended on such facilities or property used in making retail sales of goods or services to customers who personally visit such facilities? 100%

•	If more than 33.33%, please check all that apply from the following list:
	☐ The Project will be operated by a not-for-profit corporation.
	☐ The Project is likely to attract a significant number of visitors from outside of the
	economic development region (defined as the counties of Cayuga, Onondaga,
	Madison, Cortland, and Oswego).
	☐ The Project occupant, if not for the proposed financial assistance from the
	Agency, would locate the Project and related jobs outside of New York State.
	☐ The predominant purpose of the Project is to make available goods or services
	which would not, but for the Project, be reasonably accessible to the residents of
	the City, Town or Village within which the Project will be located due to a lack
	of accessible retail trade facilities offering such goods or services.
	☐ The Project will be located in an area designated as an Empire Zone pursuant to
	Article 18-B of the General Municipal Law.
	☐ The Project will be located in a census tract, or census tract contiguous thereto,
	which, according to the most recent census data has (a) a poverty rate of at least
	20% or at least 20% of households receiving public assistance for the year in
	which the data relates, and (b) an unemployment rate of at least 1.25 times the
	statewide unemployment rate for the year to which the data relates.

4. Utilities on Site:

a) Water Supply	Municipal	Describe source / supplier: Town of Aurelius
b) Sewer	Municipal	Describe other: Town of Aurelius
c) Electricity Utility	NYSEG	Describe other:
d) Gas Utility	NYSEG	Describe other:

- 5. Attach copies of preliminary plans or sketches of proposed construction, site plans or floor plans of existing facility.
- 6. Who presently is legal owner of the project building or site described in # 2 above? **Dr. Dale Ottosen**
- 7. Is there an existing or proposed lease for the project? No. If yes, attach a copy of the lease.
- 8. Existing Facilities within New York State:
 - a. Are other facilities owned, leased or used by the Owner or User (or any related entity/person) within the State? Yes
 - b. If there are other facilities within the state, is it expected that any of these other facilities will close or be subject to reduced activity as a result of the proposed Project? No
 - c. If yes, is the Project reasonably necessary to discourage the Owner or User from removing activities in the State to a location outside of the State? Select Yes or No If yes, please explain.

Note: The Agency is required to notify the chief executive officer of the municipality from which your facility is being relocated or abandoned. This notification will be sent prior to the Agency's conduct of required public hearing(s).

CERTIFICATION: Based upon the answers provided within question 8 above, the Company hereby certifies to the Authority that the undertaking of the proposed project and provision of financial assistance to the Company by the Agency will not violate GML Section 862(1).

9. Project Costs (Estimates):

Category	Amount
Land acquisition	\$83,000
Building Construction/Renovation	\$798,000
Site Work	\$168,500
Machinery & Equipment	\$6,000
Furniture & Fixtures	\$5,000
Soft Costs (Architect, Legal and Engineering)	\$120,000
Financial Charges (loan or bond fees and interest)	\$100,000
Agency Fee	\$8,000
Other (Describe: Contingency)	\$80,000
Other (Describe:	
Total Project Cost:	\$1,368,500

10. Sources of Funds for Project Costs:

Bank Financing:

\$1,010,800 \$357,700

Equity (excluding equity attributed to

grants/tax credits):

Tax Exempt Bond Issuance:

Taxable Bond Issuance:

Public Sources (total pf all state and federal

grants and tax credits):

Identify each state and federal grant/credit:

Total Sources of Funds for Project Costs:

\$1,368,500

11. Have any of the above costs been paid or incurred as of the date of this Application? Yes If yes, describe particulars. Architect, property survey, site engineering, loan application

IV. Permitting and Environmental Requirements

- Does the project require local planning or permitting approvals? Yes If yes, please list necessary approvals. Aurelius Town Planning Board Permit, DOT Approval, Cayuga County Approval
- 2. Will a site plan application be filed? Yes If yes, include copy if prepared.
- 3. Has another entity been designated as lead agent under the State Environmental Quality Review Act ("SEQRA")? Yes
 - a. If yes, attach copy of Negative Declaration if completed, or a copy of submitted Environmental Assessment Form if Negative Declaration has not yet been issued.
 - b. If no, attach a completed Environmental Assessment Form.

V. Employment and Payroll Projections

1. Job Creation:

- a. Anticipated construction jobs created by the Project:40
 Anticipated Dates of Construction:December 2018-June 2019
- b. Permanent Full Time Equivalent (FTE)* Jobs to be Created and Retained by the Project
 - Column A: Insert the job titles or types that exist within the company at the time of application, as well as any job titles that will be established as a result of the Project.
 - Column B: Indicate the average wage for each listed job title/type in terms of annualized wages.
 - Column C: Indicate the wage range for each listed job title/type in terms of annualized wages.
 - Column D: Indicate the average amount of fringe benefits for each listed job title/type.
 - **Column E:** For each listed job title insert the number of FTEs that exist at the time of application.
 - Column F: Insert the number of FTE jobs to be created during year one of the Project for each listed job title.
 - Column G: Insert the number of FTE jobs to be created during year two of the Project for each listed job title.
 - Column H: Insert the number of FTE jobs to be created during year three of the Project for each listed job title.

	14468	The state of the	(D)		F)	(G)	(H)
(A)	(B)	(C)	Average	(E)	Jobs	Jobs	Jobs
	Average	Annual	Fringe	Current	Created:	Created:	Created:
	Annual	Wage	Benefits	Number	Year	Year	Year
Job Title/Type	Wages	Range	water bearing	of FTEs	One	Two	Three
Asst. Veterinarian	\$82,500	\$65-100,000	\$5,000	2	1	0	1
LVT	\$36,500	\$33-40,000	\$5,000	4.5	2	1	2
Hospital Attendant	\$28,000	\$27-29,000	\$4,000	2	1	1	0
Client Services Rep.	\$34,000	\$31-37,000	\$4,000	3.5	1	1	0
	\$	\$	\$				
	\$	\$	\$				
	\$	\$	\$				
	\$	\$	\$				
	\$	\$	\$				
	\$	\$	\$				
			TOTALS:	12	5	3	3

- *Definition of Full Time Equivalent (FTE) Job: For the purposes of this application, any employee working 30 hours or more per week is considered 1 FTE. Any employee working fewer than 30 hours per week is counted as a proportion of an FTE equal to the number of hours worked per week divided by 30. For example, an employee working 20 hours per week equals .67 FTE (20 divided by 30). Please contact Agency Staff if you have questions about calculating FTE.
 - 2. What percentage of jobs to be created are estimated to be filled by residents of the Labor Market Area, defined by the Agency as the Counties of Cayuga, Cortland, Onondaga, Ontario, Oswego, Seneca, Tompkins, and Wayne? 85%
 - 3. If no jobs are being created, please describe the circumstances under which these incentives are necessary for job retention. N/A
 - 4. Payroll Projections:
 - a. Current Annual Payroll: \$800,612.93
 - b. First Year After Completion of Project: \$1,100,000
 - c. Second Year After Completion of Project: \$1,200,000
 - d. Third Year After Completion of Project: \$1,375,000

Estimate of Potential Benefits VI.

1. Please indicate the type(s) of Financial Assistance sought for the Project:

Yes	Sales and Usage Tax Exemption
Yes	Mortgage Tax Exemption
No	Real Property Tax Abatement (PILOT Agreement)
No	Issuance by the Agency of Industrial Development Revenue Bonds

2. Estimated Project Benefits

Note to Applicant: CCIDA staff will work with applicants to identify potential IDA benefits upon receipt of a completed draft application, using the information contained in the draft application and discussions with the applicant. Therefore, please do not complete this section or sign and certify application until CCIDA staff has reviewed a draft application and assisted in the calculation of estimated benefits.

A. Sales and Use Tax Exemption

a. Amount of Project Cost Subject to Tax:	\$500,000	
Applicable sales and use tax rate:	x .08	
b. Financial benefit if fully exempt:	\$40,000	

B. Mortgage Recording Tax Exemption

a. Projected amount of Mortgage:	\$1,010,800
Mortgage recording tax rate:	x .0075
h Einangial hangfit if fully tox exempts	\$7.5Q1

b. Financial benefit if fully tax exempt: \$7,581

C. Payment of Lieu of Taxes (PILOT) *

a. Investment in real property

\$

b. Equalization rate

c. Current, pre-project assessment

\$

d. Probable post-project assessed value

\$

e. PILOT Schedule

Year	f. Abatement	g. Abated	h. Total	i. PILOT	j. Full Taxes	k. Net
	on Added	Taxable	Tax Rate	Payment		Exemption
	Value	Value				
Calc.		c + [(d-c) x f]		(g/1000) x h	(d/1000) x h	j - i
1	100%	\$		\$	\$	\$
2	90%	\$		\$	\$	\$
3	80%	\$		\$	\$	\$
4	70%	\$		\$	\$	\$
5	60%	\$		\$	\$	\$
6	50%	\$		\$	\$	\$
7	40%	\$		\$	\$	\$
8	30%	\$		\$	\$	\$
9	20%	\$		\$	\$	\$
10	10%	\$		\$	\$	\$

1. Total PILOT Net Exemption:

\$

\$

\$

D. Interest Exemption - Bond transactions only

a. Total Estimated Interest Expense Assuming Taxable Interest:

b. Total Estimated Interest Expense Assuming

Tax-exempt Interest Rate:

c. Interest Exemption (a - b):

E. Total Estimated Exemptions

a. Sales & Use Tax Exemption \$40,000
b. Mortgage Recording Tax Exemption \$7,581
c. PILOT Real Property Net Exemption \$
d. Interest Exemption from Bond Issuance e. TOTAL EXEMPTION \$47,581

3. Is it likely that the Project would be undertaken without the provision of the above financial assistance? Yes

If yes, describe how the Project would be impacted if these benefits were not provided. May have to minimize hiring additional employees. May have to eliminate areas of construction.

VII. Supplemental Materials

- 1. Map showing project location
- 2. Preliminary plans or sketches of proposed construction
- 3. Copies of two most recent annual financial statements and unaudited year to date financial statements
- 4. Copy of most recent Annual Report (for established businesses) or Business Plan (for new businesses)
- 5. Sales and income projections for next three years
- 6. Environmental Assessment Form of Negative Declaration
- 7. \$250 application fee
- 8. Other attachments (please specify):
 - a.
 - b.
 - c.
 - d.
 - e.
 - f.
 - g.

VIII. Application Submission

Once the application has been reviewed by Agency staff and Section VI has been completed, please sign, certify and submit the completed application along with Supplemental Materials to:

CAYUGA COUNTY INDUSTRIAL DEVELOPMENT AGENCY

C/O Cayuga Economic Development Agency

2 State Street

Auburn, NY 13021

Email Applications (scanned PDFs) may be sent to: tverrier@cayugaeda.org

Telephone: (315) 252-3500

Administrative fees in the amount outlined in Section I will be collected at the time of closing.

Representations by the Applicant

The Applicant understands and agrees with the Agency as follows:

- A. <u>Job Listings:</u> In accordance with Section 858-b (2) of the New York General Municipal Law, the applicant understands and agrees that, if the Project receives any Financial Assistance from the Agency, except as otherwise provided by collective bargaining agreements, new employment opportunities created as a result of the Project will be listed with the New York State Department of Labor Community Services Division (the "DOL") and with the administrative entity (collectively with the DOL, the "JTPA Entities") of the service delivery area created by the federal job training partnership act (Public Law 97-300) ("JTPA") in which the Project is located.
- **B.** First Consideration for Employment: In accordance with Section 858-b (2) of the New York General Municipal Law, the applicant understands and agrees that, if the Project receives any Financial Assistance from the Agency, except as otherwise provided by collective bargaining agreements, where practicable, the applicant will first consider persons eligible to participate in JTPA programs who shall be referred by the JTPA Entities for new employment opportunities created as a result of the Project.
- C. Annual Sales Tax Filings: In accordance with Section 874 (8) of the New York General Municipal Law, the applicant understands and agrees that, if the Project receives any sales tax exemptions as part of the Financial Assistance from the Agency, the applicant agrees to file, or cause to be filed, with the New York State Department of Taxation and Finance, the annual form prescribed by the Department of Taxation and Finance, describing the value of all sales tax exemptions claimed by the applicant and all consultants or subcontractors retained by the applicant.
- **D.** Annual Employment Reports: The applicant understands and agrees that, if the Project receives any Financial Assistance from the Agency, the applicant agrees to file, or cause to be filed, with the Agency, on an annual basis, reports regarding the number of people employed at the project site.
- E. <u>Absence of Conflicts of Interest:</u> The applicant has received from the Agency a list of the members, officers, employees and Counsel of the Agency. No member, officer, employee, or Counsel of the Agency has an interest, whether direct or indirect, in any transaction contemplated by this Application, except as hereinafter described:

HOLD HARMLESS AGREEMENT AND APPLICATION DISCLAIMER CERTIFICATION PURSUANT TO NEW YORK STATE FREEDOM OF INFORMATION LAW ("FOIL")

Applicant hereby releases the CAYUGA COUNTY INDUSTRIAL DEVELOPMENT AGENCY and the members, officers, servants, agents and employees thereof (the "Agency") from, agrees that the Agency shall not be liable for and agrees to indemnify, defend and hold the Agency harmless from and against any and all liability arising from or expense incurred by (A) the Agency's examination and processing of, and action pursuant to or upon, the attached Application, regardless of whether or not the Application or the Project described therein or the tax exemptions and other assistance requested therein are favorably acted upon by the Agency, (B) the Agency's acquisition, construction and/or installation of the Project described therein and (C) any further action taken by the Agency with respect to the Project; including without limiting the generality of the foregoing, all causes of action and attorneys' fees and any other expenses incurred in defending any suits or actions which may arise as a result of any of the foregoing. If, for any reason, the Applicant fails to conclude or consummate necessary negotiations, or fails, within a reasonable or specified period of time, to take reasonable, proper or requested action, or withdraws, abandons, cancels or neglects the Application, or if the Agency or the Applicant are unable to reach final agreement with the respect to the Project, then, and in the event, upon presentation of an invoice itemizing the same, the Applicant shall pay to the Agency, its agents or assigns, all costs incurred by the Agency in the processing of the Application, including attorneys' fees, if any.

Through submission of this Application for Financial Assistance (this "Application"), the Company acknowledges that the Agency, as a public benefit corporation, is subject to the New York State Freedom of Information Law ("FOIL") and Open Meetings Law ("OML"), as codified pursuant to the Public Officers Law ("POL") of the State of New York (the "State"). Accordingly, unless portions hereof are otherwise protected in accordance with this Certification, this Application, including all Company-specific information contained herein, is subject to public disclosure in accordance with applicable provisions of the POL, Article 18-A of the General Municipal Law ("GML") and the Public Authorities Accountability Act of 2005, as codified within the Public Authorities Law ("PAL") of the State. Specifically, this Application may be disclosed by the Agency to any member of the public pursuant to a properly submitted request under FOIL and the Agency is further required to affirmatively disclose certain provisions contained herein pursuant to the GML and PAL, including the identification of the Company, general project description, location proposed capital investment and job estimates.

Notwithstanding the foregoing, the Company, pursuant to this Certification, may formally request that the Agency consider certain information contained within this Application and other applicable supporting materials proprietary information and "trade secrets", as defined within POL Section 87(2)(d). To the extent that any such information should qualify as trade secrets, the Company hereby requests that the Agency redact same in the event that formal disclosure is requested by any party pursuant to FOIL. Application Sections or information requested by Company for Redaction*:

(* - Please indicate specific sections within Application that the Company seeks to qualify as "trade secrets". Additional correspondence or supporting information may be attached hereto. Please also

note that notwithstanding the Company's request, the Agency shall make an independent determination of the extent to which any information contained herein may be considered as such)

In the event that the Agency is served with or receives any subpoena, request for production, discovery request, or information request in any forum that calls for the disclosure of the Application, in entirety, specifically including but not limited to any demand or request for production or review of Company-designated trade secrets, the Agency agrees to notify the Company as promptly as is reasonably possible, and to utilize its best efforts to: oppose or decline any such request; preserve the confidentiality and non-disclosure of such requested confidential material; and maintain such information and prevent inadvertent disclosure in responding to any such discovery or information request. The Company understands and agrees that all reasonable costs, including attorney's fees, associated with any such formal undertaking by the Agency to protect the trade secrets from disclosure shall be reimbursed by the Company to the Agency.

The undersigned officer of the applicant deponent acknowledges and agrees that the applicant shall be and is responsible for all costs incurred by the Agency and legal counsel for the Agency, whether or not the Application, the proposed project it describes, the attendant negotiations, or the issue of bonds or other transaction or agreement are ultimately ever carried to successful conclusion and agrees that the Agency shall not be liable for and agrees to indemnify, defend and hold the Agency harmless from and against any and all liability arising from or expense incurred by (A) the Agency's examination and processing of, and action pursuant to or upon, the Application, regardless of whether or not the Application or the proposed project described herein or the tax exemptions and other assistance requested herein are favorably acted upon by the Agency, (B) the Agency's acquisition, construction and/or installation of the proposed project described herein and (C) any further action taken by the Agency with respect to the proposed project; including without limiting the generality of the foregoing, all causes of action and attorney's fees and any other expenses incurred in defending any suits or actions which may arise as a result of any of the foregoing.

By executing and submitting this Application, the applicant covenants and agrees to pay the following fees to the Agency, the same to be paid at the times indicated:

- (a) The sum of \$250 as a non-refundable application fee, to be paid upon submission of the Application;
- (b) An Administrative Fee amounts to be determined using the schedule in Section I on page 2 hereof for all other projects for which the Agency provides financial assistance, to be paid at transaction closing;
- (c) An amount to be determined by Agency Staff payable to the Agency's bond/transaction counsel for the preparation and review of the inducement resolution, the environmental compliance resolution, TEFRA hearing proceedings and the tax questionnaire assuming no further activity occurs after the completion of the inducement proceedings, to be paid within ten (10) business days of the receipt of bond/transaction counsel's invoice;
- (d) All fees, costs and expenses incurred by the Agency for (1) legal services, including but not limited to those provided by the Agency's general counsel or bond/transaction counsel, and (2) other consultants retained by the Agency in connection with the

- proposed project; with all such charges to be paid by the applicant at the closing or, if the closing does not occur, within ten (10) business days of receipt of the Agency's invoices therefore please note that the applicant is entitled to receive a written estimate of fees and costs of the Agency's bond/transaction counsel;
- (e) The cost incurred by the Agency and paid by the applicant, including bond/transaction counsel and the Agency's general counsel's fees and the processing fees, may be considered as a costs of the project and included in the financing of costs of the proposed project, except as limited by the applicable provisions of the Internal Revenue Code with respect to tax-exempt bond financing.

The applicant further covenants and agrees that the applicant is liable for payment to the Agency of all charges referred to above, as well as all other actual costs and expenses incurred by the Agency in handling the application and pursuing the proposed project notwithstanding the occurrence of any of the following:

- (a) The applicant's withdrawal, abandonment, cancellation or failure to pursue the Application;
- (b) The inability of the Agency or the applicant to procure the services of one or more financial institutions to provide financing for the proposed project;
- (c) The applicant's failure, for whatever reason, to undertake and/or successfully complete the proposed project; or
- (d) The Agency's failure, for whatever reason, to issue tax-exempt revenue bonds in lieu of conventional financing.

The applicant and the individual executing this Application on behalf of applicant acknowledge that the Agency and its counsel will rely on the representations made in this Application when acting hereon and hereby represents that the statements made herein do not contain any untrue statement of a material fact and do not omit to state a material fact necessary to make the statements contained herein not misleading.

Company Acknowledgment and Certification:

The undersigned, being a duly authorized representative of the Company, hereby and on behalf of the Company, certifies to the best of his or her knowledge and under the penalty of perjury that all of the information provided by the Company within this Application for Financial Assistance is true, accurate and complete.

The Company, on behalf of itself and all owners, occupants and/or operators receiving or that will receive financial assistance from the Agency (collectively, the "Recipients") hereby certifies that the Recipients are in substantial compliance with applicable local, state and federal tax, worker protection and environmental laws, rules and regulations.

The Company, on behalf of itself and all Recipients, hereby further acknowledges that the submission of any knowingly false or knowingly misleading information herein or within any agreement with the Agency may lead to the immediate termination of any financial assistance and the reimbursement of an amount equal to all or part of any tax exemptions claimed by reason of the Agency's involvement in the project, including all costs of the agency relating to same. The Company has reviewed and accepts the terms of the Agency's Project Recapture and Termination Policy.

By: Name: Title:	
State of New County of	,
On the da	by ofin the year 20, before me, the undersigned, personally
appeared	
satisfactory e	evidence to be the individual(s) whose name(s) is (are) subscribed to the within
instrument a	nd acknowledged to me that he/she/they executed the same in his/her/their
capacity(ies),	and that by his/her/their signatures on the instrument, the individual(s), or the person
upon behalf o	of which the individual(s) acted, executed the instrument.

Notary Public

CAYUGA COUNTY INDUSTRIAL DEVELOPMENT AGENCY

Project Report

As part of the incentive package provided to your company by the Cayuga County Industrial Development Agency (CCIDA), it is required that you report to CCIDA on an annual basis information regarding employment levels and payroll. Please fill out the form below to satisfy this requirement. All employment and payroll information should be reported as of December 31. Please complete and return this form to CCIDA, 2 State Street, Auburn, NY 13021 by January 31.

Contact	Inform	ation
---------	--------	-------

Company Name: Ryan Arimal Hospital Company Address: 15 32 Clock St Pol,	DBA Cayuga Veterinary Services
Company Address: 15 32 Clork St 201,	Abum, NY 13021
0 0 0 0 0 1	

Company Phone:	315	253	787)

Contact Person:	Rache	1 Her	ndricks
Contact Person Ph	one: 215	252	7871

Contact Person Email: Cayoga Veterinary Services @amail. Com

Employment Level

2017	Number of Full Time Equivalent (FTE)* Employees as of December 31:	14
	Number of Leased Employees as of December 31:	

Number of employees in each of the following categories:

	Skilled	Semi-skilled	Unskilled
Full time	8	5	Ø
Part time	1		Ø
Leased	8	Ø	Ø

Number of Construction Jobs in the reporting year (if applicable):	Ø	NA
--	---	----

^{*}Definition of Full Time Equivalent (FTE) Employee: For the purposes of this form, any employee working 30 hours or more per week is considered 1 FTE. Any employee working fewer than 30 hours per week is counted as a proportion of an FTE equal to the number of hours worked divided by 30. For example, an employee working 20 hours per week equals .67 FTE (20 divided by 30). Please contact Agency Staff if you have questions about calculating FTE.

Company Payroll
Please do not include data regarding leased employees in the payroll section.
Total payroll as of December 31: \$ 600,612.93
Average annualized wage: \$_45250.00
Annualized wage range: \$ 3\000 to \$100000
*
Sales Tax Generation (For Retail/Tourism Projects Only)
Please state the amount of sales tax generated by your business as reported to the NYS Dept. of Taxation and Finance (Form ST-100) for the last four quarters:
December 1 – February 28: \$ N A
March 1 – May 31: \$ NA
June 1 – August 31: \$
September 1 – November 30: \$ NA
Optional: Employment Plans
What are your employment plans for the current calendar year?
☑ Increase employment
How much?
Please explain your reasoning for this employment plan:
I hereby certify that the above information is accurate to the best of my knowledge and that I am
authorized by the company listed above to report information regarding employment and payroll.
Vacin tenunce
Signature
kacha tendrias
Print Name
tractice Haministrator
Title
4/20/18
Date*

Appendix 2:

LOCAL LABOR POLICY AGREEMENT

Cayuga County Industrial Development Agency Adopted: January 19, 2016

Project Applicants, as a condition to receiving Financial Assistance (including sales tax exemption, mortgage recording tax exemption, real property tax abatement, and/or bond proceeds) from the Cayuga County Industrial Development Agency (the "Agency") will be required to use local labor for 100% of the construction of new, expanded, or renovated facilities. Local labor is defined as an individual that resides within the Cayuga County, an adjacent county, or New York State as stated in the percentages below:

- 65% of all project employees of the general contractor, subcontractor, or subcontractor to the subcontractor (collectively, the "Workers") must reside within Cayuga County;
- An additional 20% of Workers must reside in Cayuga County or an adjacent county (Oswego, Onondaga, Ontario, Cortland, Tompkins, Seneca, or Wayne); and
- An additional 15% of Workers must reside within Cayuga County, an adjacent county, or New York State.

The Agency may determine on a case-by-case basis to waive all or a portion of the local labor policy for a project or a portion of a project where consideration of warranty issues, necessity of specialized skills, cost differentials of at least 10% between local and non-local services, documented lack of Workers meeting the local labor requirement, or other compelling circumstances exist.

In consideration of the extension of Financial Assistance by the Agency, Market Hook 1980 (Applicant) understands the Local Labor Policy and agrees to submit a Local Labor Utilization Report Form (attached) at the time of the Application to the Agency, every 90 days thereafter, and/or at the completion of the construction portion of the Project (the Project). The Applicant further understands any request for a waiver to this policy must be submitted in writing using the Local Labor Policy Waiver Request form (attached) and approved by the Agency before a tax exempt certificate is issued and prior to hiring any Workers that do not satisfy the local labor requirements laid out above. The Applicant further understands that if the required forms are not submitted to the Agency, then the Agency shall have the right to immediately terminate any and all Financial Assistance being provided to the Project.

The following organizations should be solicited for the purpose of meeting the requirements of this Agreement:

Cayuga Central Labor Council CNY Area Labor Federation Cayuga Works Career Center Bill Andre Wendy Colucci Ann Kubarek, Director (315) 378-3713 (315) 422-3363 (315) 253-1592 66 Genesee Street wendy@cnylabor.org akubarek@cayugacounty.us Auburn, NY 13021 615 W. Genesee Street James Bepko, Business Services Rep. Syracuse, NY 13204 (315) 479-3263 james.bepko@labor.ny.gov 199 Franklin Street, Ste. 204 Auburn, NY 13021

The Agency is also able to provide an extensive list of local labor unions upon request.

I agree to the conditions of this agreement and certify all information provided regarding the construction and employment activities for the Project as of \(\frac{126}{56} \) (date).
Applicant: Ran Arimal Hopital Den Cayya Veterinary Services
Representative for Contract Bids/Awards:
Vendor Address: 13140 West Church St.
City: Savannah State: N Zip Code: 13146
Email: dsacor esecor lumber, com
Project Address: 1532 Clark St Rd Alburn, NY 1302
Authorized Representative: Rachel Herdricks
Title: tractice faministrator
Signature: Kacheltenducks

Sworn to before me this

Lt+L day of April , 2018.

(Notary Public)

KATHLEEN F. LULL
Notary Public, State of New York
Official No. 6023649
Residing in Cayuga Go. At Time of Appt.
Commission Expires April 24 2019

Property Description Report For: 1538 Clark St Rd, Municipality of Town of Aurelius



Total Acreage/Size:

Land Assessment: Full Market Value:

Equalization Rate: Deed Book:

Grid East:

1637 811424

115 x 210

2017 - \$22,000

2017 - \$128,605

Status:

Active **Roll Section:** Taxable

Swis:

Tax Map ID #: **Property Class:** 114.00-2-22.1 210 - 1 Family Res

RES 1

052089

In Ag. District: No

Site Property Class: Zoning Code:

210 - 1 Family Res

Neighborhood Code:

School District: **Total Assessment:** 02015 - TOV-W Water

Union Springs 2017 - \$110,600

Legal Property Desc:

Deed Page: Grid North:

38 1066664

Area

Living Area: Second Story Area: Additional Story Area: Finished Basement:

0 sq. ft. 0 sq. ft. 0 sq. ft.

2,562 sq. ft.

First Story Area: **Half Story Area:** 3/4 Story Area: **Number of Stories:**

2,562 sq. ft. 0 sq. ft. 0 sq. ft. 1

Structure

Building Style: Bedrooms:

Fireplaces:

Porch Type: Basement Garage Cap:

Overall Condition:

Year Built:

Ranch

Porch-encisd

Normal 1951

Bathrooms (Full - Half): 2 - 0

Kitchens:

Basement Type: Porch Area: Attached Garage Cap:

Overall Grade:

Partial 180.00 475.00 sq. ft.

Average

Owners

Dale W Ottosen 1532 Clark Street Rd Auburn NY 13021

Sales

Sale Date 8/5/2016	Price \$125,000	Property Class 210 - 1 Family Res	Sale Type Land & Building	Prior Owner Ottosen, Marilyn K.	Value Usable Yes	Arms Length No	Addi. Parcels No	Deed Book and Page 1637/38
10/29/2007	\$1	210 - 1 Family Res	Land & Building	Ottosen, Dale	No	No	No	1332/159

Utilities

Sewer Type: Utilities: Fuel Type:

Private
Gas & elec
Natural Gas

Water Supply: Heat Type: Central Air: Comm/public Hot air

No

Improvements

Structure	Size	Grade	Condition	Year
Gar-1.0 att	19 × 25	Average	Fair	1950
Porch-encisd	12 × 15	Average	Fair	1970
Pool-concret	40 × 20	Average	Fair	1965
Porch-coverd	34 × 3	Average	Fair	1950

Land Types

Type Primary Size 0.55 acres

Special Districts for 2017

Description	Units	Percent	Туре	Value
DLWTS-UNPAID WATER/SEWER	0	0%	T	0
FD201-AURELIUS FIRE DIST	0	0%		0
WD202-AURELIUS WATER 2 OM	0	0%		0
SD206-AURELIUS SEWER 2 OM	1	0%		0
SD203-AURELIUS SD 2	1	0%		0

Exemptions

Year Description Amount Exempt % Start Yr End Yr V Flag H Code Own %

Property Description Report For: 1532 Clark St Rd, Municipality of Town of Aurelius



Total Acreage/Size:

Land Assessment:

Full Market Value: **Equalization Rate:**

Deed Book: **Grid East:**

100 x 210

2017 - \$75,000 2017 - \$161,860

1658

811313

Status:

Roll Section: Swis:

Tax Map ID #: **Property Class:**

In Aq. District: Site Property Class:

Zoning Code:

Neighborhood Code: School District: Total Assessment:

Legal Property Desc:

Deed Page: **Grid North:**

Active

Taxable 052089

114.00-2-22.2 472 - Kennel / vet

COM 1

No

472 - Kennel / vet

02070 - Commercial Union Springs

2017 - \$139,200

SMD 718-144 Lot B

233 1066661

Owners

1532 Clark Street Road, LLC 1532 Clark Street Rd Auburn NY 13021

Sales

Sale Date 12/19/2016

Price \$1

Property Class Sale Type 400 -Commercial

Land & Building

Prior Owner The Ryan Animal Hospital

Value Usable

No

Length

Arms

No

Addi. **Parcels** No

Deed Book and Page 1658/233

Utilities

Sewer Type: Utilities:

Comm/public Gas & elec

Water Supply:

Comm/public

Inventory

Overall Eff Year Built: Overall Grade:

Average

Overall Condition: Overall Desirability:

Normal

Buildings

AC% 100

100

0

Basement Sprinkler% Alarm% Elevators Type Unfinished

Year Built 1970

Condition Quality Normal Average

Gross Floor Area (sqft) Stories 2472 1.00

Site Uses

Rentable Area (sqft)

Total Units

http://imate.cayugacounty.us/IMO/report.aspx?file=VOLLOCAL/T000103/052089114000000202200200000003.JPG&swiscode=052089&printkey=1140000002022

4	/3	12	n	1	Я

Printer Friendly Report - Image Mate Online

 Kennel/vet
 2,472
 0

 Non-contrib
 2,280
 0

Improvements

Structure	Size	Grade	Condition	Year
Porch-coverd	10 × 5	Average	Normal	1970
Porch-coverd	8 × 5	Average	Normal	1970

Land Types

Type Size
Primary 0.48 acres

Special Districts for 2017

Description FD201-AURELIUS	Units .	Percent 0%	Туре	Value 0
FIRE DIST WD202-AURELIUS WATER 2 OM	0	0%		0
SD206-AURELIUS SEWER 2 OM	1	0%		0
SD203-AURELIUS SD 2	1	0%		0
SD 2				

Exemptions

Year Description Amount Exempt % Start Yr End Yr V Flag H Code Own %

To the control of the GRADING AND EROSION CONTROL PLAN DONAHOE CROUP they neglect made as widgles now was then to see the the AB PIASCIK SC100 TOWN OF AURELIUS, NEW YORK MAKEDERORT ARM YOUR 225 CLARK ST. RO., AUBURN CAYUCA VETERINARY SERVICES CHEDGE 62/13/18 152f0ass Deagen ATR 4.6 DATE NEW BUILDING FOR: A LEAST WORKER OF THE TAKEN TO THE REQUEST LEAST THE TAKEN TO THE REQUEST LEAST TO THE TAKEN THE TA 4. CALLION, HOTOTTO TOWARD THE CONTRACTOR IS SECTEMALY CALLIONED THE THE CALLION THAT IS A THE ELEVANOR OF EXPERIENT EACH SHARM THAT IS A THE PASSED ON RECORDED THE AREA THAT IS A THE LATE THE WHOM THAT NOT THE ELEVANOR THAT AND THAT THE LATE THE WHOM THAT NOT THE ELEVANOR THAT THE SHARM THAT HE RECORDED THE CALLION THAT THE SHARM THAT HE RECORD THAT THE ADMINISTRATION IS NOT THE ADMINISTRATION OF SHARM THAT THE ADMINISTRATION IS NOT THE ADMINISTRATION OF SHARM THAT THE ADMINISTRATION IS NOT THE ADMINISTRATION OF THE ADMIN 3. THE CONTRACTOR SHALL BE RESPONSIBLE FOR ALL INCESSARY INDEED FOR THE ARTHOR AND STATES AND SERVICES COMMANDS THAT SHALL BE PERFORMED FOR SHALL SHALL BE PERFORMED FOR THE AND THE FINAL COMPECTION OF SERVICES AND PROMINEALL BERGROWGBLE TO SECURE ALL PERMITS AND PROVIDEALL BOWGS REQUIRED FOR THIS SITE CONSTRUCTION PAYMENTS SHALL BE MADE BY CONTRACTOR FOR ALL PERMIT FEES. ALL PAVENENTS DISTURBED BY NEW CONSTRUCTION AND MODCATED TO REMAIN SHALL BE RESTORED TO THE SATISFACTION OF THE GOVERNING AGENCIES. PROPOSED STORM SEWER 4. MANY BMANCE AND ENDIECTION DE TRAFEC ALONG WITH SECURIND THE SECREPTION OF THE CONTRACTOR. THE CONTRACTOR. THE CONTRACTOR SHALL GREAT WARN APPROVAL OF THE M.Y.S.D.O.T. PRIOR TO THE START OF WORK. PROPOSED CONTOUR THE CONTRACTOR SHALL LOCATE, MARK, SAFEGUARD AND PRESERVE ALL BURYLY CONTROL MONIMENTS AND R.O.W. MONIMENTS IN THE AREAS OF CONSTRUCTION. . A NEW YORK STATE LICENSED LAND SURVEYOR IS REQUIRED FOR THE LAYOUTSTAKEOUT OF ALL SITE WORK. 12.0 QN3931 6.2 BOTTON ELEY = 618.00 100.YEAR PLOOD ELEY = 618.23 100.YEAR STORAGE = 0.093.4C.87 -TENPORARY SEDILLENT DASH

-TOP OF BERM = 813.75

T.W. 1618.75 B.W. 1618.75 B.W. 1616.25 -20.L.F. OF 8" N.D. P.E. 80.010

7518.75 1 W =618 75

--- 415 40

C.B. 1 RIN 618.56 INV 616.10

ESS OF BANCHINI COMMON

HOPE BOOK NYSDOT TEMBOSOS 3200 2512 CT ROLL BOOK NYSDOT TEMBOSOS 32000

CLARK STREET ROAD (ROUTE 5 AND 20)

GALV. STEEL END SECTION, N.Y.S.D.O.T. ITEM 643,177116.3 FED 18V. 616.00 84. F OF (2" H.D.P.E.@ 8.0957

SAWCUT ASPIKALT PAYEMENT FULL DEPTH, K.Y.S.D. D.T. ITEM 520.0900010

DENOVE EXISTING ASPIALT
DRIVEWAY, N.Y.S., D.O. T., ITEM 203,02 ESTABLISHTURE, N.Y. S.D.O.T. TIER 610.3681~

COOL OF Securities (arrester)

22455 elmik.

RIM 618.84 INV. 616.42

INV 415 303

4.W.=613.75

No. of Street

Z)

QL.

T.C. -620 TO T.C. +621.50 a.c. | B.C. 7621.00 T.C. =821.00 | B.C. +620.50

146 LF OF 12"

CONTRICTOR CARE ATTRITY TO TO SOON IN THE ALE AND AS TO SENT OF CONTRICTOR OF CONTRICT

CONTRACTOR SHALL BE RESPONSIBLE FOR VEHITYING SEWER RIM BLEVATIONS OF PREATON TO PROPOSED GRADE PRIOR TO

MSTALL SIDIMENT EROSION CONTROL DENOES PRICE TO START OF GRADING OPERATIONS AND AS MEDGED DURING UTILITY CONSTRUCTION.

M8 TALL LITLITIA PREVENT SEDMENTS FROM ENTERING SEMERS BY INSTALLING STRAW BALES, FILTER MASKIC ANDIOR INLET PROTECTION AROUNG INLETS AS SHOWN ON PLANS.

ALL DISTURBED AND CONSTRUCTED SLOPE AREAS ARE TO BE SEEDED WINDEDATELY AFTER CONSTRUCTION HAS BEEN COMPLETED. ALL PROPOSED ELEVATIONS SHOWN HEREON ARE FINISHED GRADE ELEVATIONS.

ALL LAND GRADING AND GROUND DISTURGANCE RELATED TO THE WORK OF THIS PROJECT SHALL BE IN ACCORDANCE WITH THE TOWN OF ALREI HIS GRADING AND EROSION CONTINCE, REQUISES MENTS.

PEROSION CONTROL GENERAL NOTES:

STATE OF

P. B. J. B.

1.C.=521.00 B.O.=639.50

ACCESSIBLE RAMP FINGE FLOOR

B.C.=621.00

T.C. -628.35 9.C. -619.85 RIN 618.80

T.C =628.80 9.0. = 520.30

4. CONTRACTOR SHALL TAKE THE RECESSARY MEASURES, INCLUDING WATER SPRINKLING TO PROVIDE DUST CONFROIL GURING CONSTRUCTOR

1, COMSTRUCT TEMPORARY DIVERSION SMALES WHERE NECESSAR TO HISSARE WORK AREAS WILL REMAIN STABLE DURING CONSTRUC 12. ERIOSION CONTROL DE VICES 10 DE CSTAILISHED PROR TO COMMENCING ESTAINISME FROSINCONTROL DE VICES 17 DE MAINT AVED EN 114E, CONTRACTOR DATA, INSTITUAM GROLANDOOR HAS BÉEN ESTAILISHED AND RENCYAL IS AFFRONED. 3. DISTURBED AFEAS SHALL BEAS SMALL AS PRACTICAL AND SHALL BE RESTORED, IMPROVED OR TEMPORABILY STATILIZED AS SOON AS POSSIBLE.

CLEAR AND GRUB SITE IN ACCORDANCE WITH CONTRACT SPECIFICATIONS. ANY TREES REMOVED OR DAMAGED OTHER THAN THOSE DESIGNATED FOR CLEARING SHALL BE REPLACED AT A 210 1 KATIO.

. ALL CURB MLETS, PILLO MLETS, END BECTOMS AND OT SWAL OF DEMANGEIN MET SY INCTURENTS SHALL BE PROTE FROM BLI ZITATION BY STAKED BALES AND PLITES FABRIC OTHER APPROVED BROSSON CONTROL MEASURES.

-SILT RENCE (TYP.)

T.W.=818.75 B.W.=618.75

GRADING AND EROSION CONTROL PLAN

OTHAT IS TO BE RENOVED.

TION BASIN LUSE AS SEDIMENT BASIN,
NEWER SYSTEM.

INSTAL TERFORAN SWALES TO DIRECT
TRISK AS RECINED.







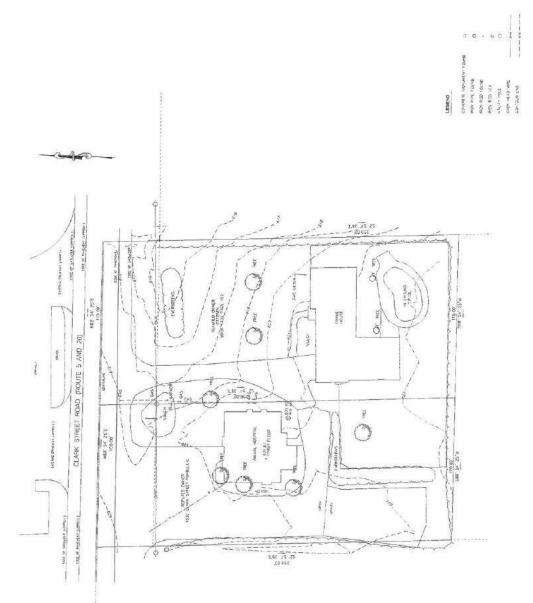
NEW BUILDING FOR: 1532 CLARK ST. RD., AUBURN 1532 CLARK ST. RD., AUBURN 100M OF AURELIUS, NEW YORK



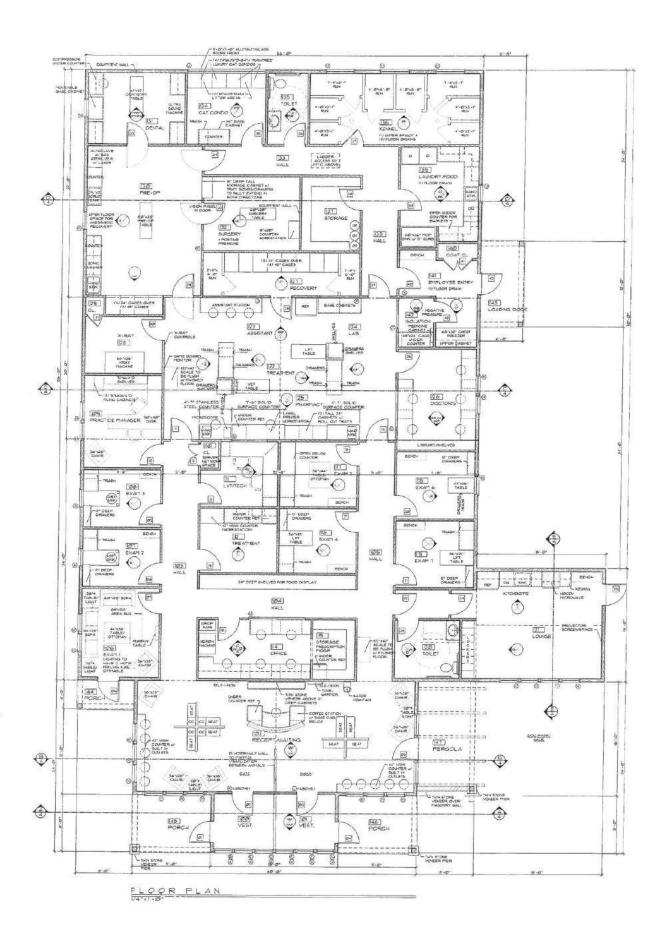
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EXISTING CONDITIONS PLAN

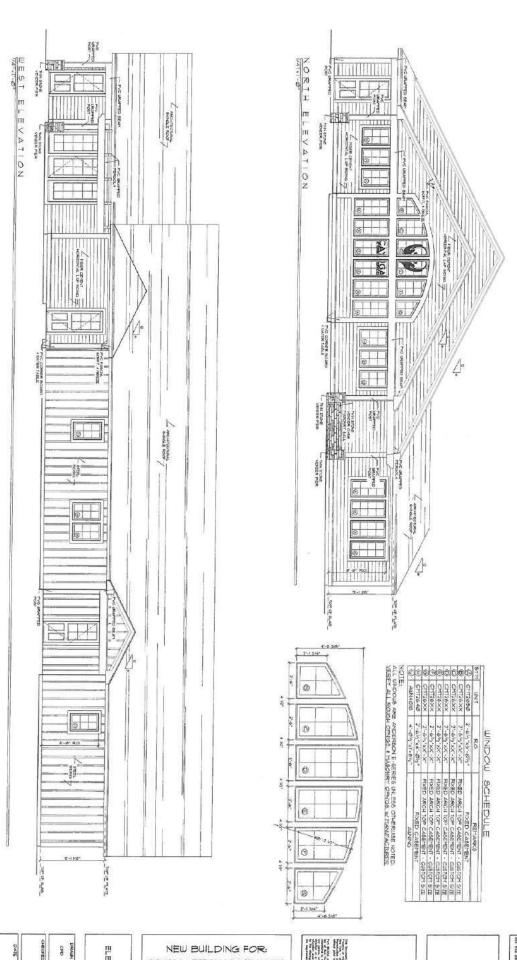






NEW BUILDING FOR: CAYUGA VETERINARY SERVICES 1532 CLARK ST. RD., AUBURN TN. OF AURELIUS, NEW YORK

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		CHECKED	PLAN
		DATE	
		PROJECT 5005	A-1



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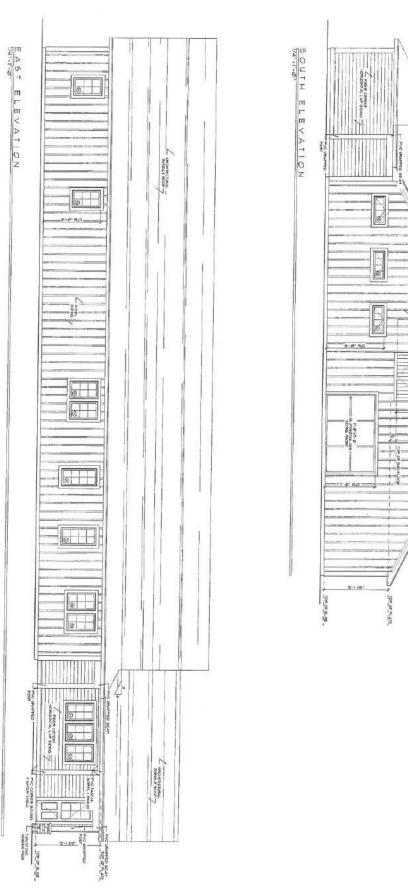
ELEVATIONS

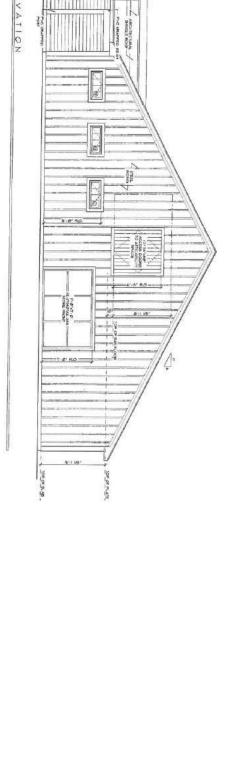
NEW BUILDING FOR: CAYUGA VETERINARY SERVICES 1532 CLARK ST. RD., AUBURN TN. OF AURELIUS, NEW YORK











CHECKED PROJECT SING S PAR \triangleright PROGRESS PRINT DATE 03/2//8 W

ELEVATIONS

NEW BUILDING FOR: CAYUGA VETERINARY SERVICES 1532 CLARK ST. RD., AUBURN TN. OF AURELIUS, NEW YORK







